

## 115. Appendix A: Suggested criteria for placing cautions or suspending placements

Cautions	Suspensions
Single issue rather than systemic	Concerns form part of a pattern of organisational abuse placing service users at significant risk
Not part of an apparent pattern of abuse	Section 42 enquiry relating to an individual service user identifies serious concerns for others
No previous history of similar incidents recorded for the service provider	Serious harm, injury or fatality involved
Concerns have occurred in the past, but at lengthy and infrequent intervals	Deliberate intent to exploit/harm indicated
Police investigation to establish if a crime has been committed	Criminal offences may have been committed
No clear criminal offence described in the safeguarding concern	Care/clinical/nursing standards fall well below accepted standards placing service users at significant risk
No indication of on-going risk to the adult or other service users	Significant breach of an implied or actual 'duty of care'
Provider co-operative and willing to engage	Systemic and on-going poor management of service placing service users at significant risk
Incident being managed appropriately by the service provider	Inability of provider to sustain improvements
Limited involvement with the safeguarding process	Inability or unwillingness of provider to engage in the safeguarding process